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Applicant(s): Charles Neville GLEW

Application No.: 09/937,746

Filed: September 28, 2001

Title: IMPROVEMENTS IN

ELECTRICAL MACHINES

Attorney Docket No.: P/70017/Alstom

Group Art Unit:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLI

Assistant Commissioner for Patents Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the aboveidentified application.

- Executed Declaration and Power of Attorney. The above-identified application is the same **(X)** application which the inventor executed by signing the enclosed declaration.
- () Sheets of Formal Drawings.
- Information Disclosure Statement; Form PTO-1449. **(X)**
- **(X)** Missing Parts Surcharge \$130.00.

()	A Petition for Extension of Time for reply to Notice of Missing Parts is attached.	
	() one month (\$110.00)	
	() two months (\$400.00)	
	() three months (\$920,00)	

four months (\$1,440.00)

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130.00 OP

Respectfully submitted,

Alan Israel

Reg. No. 27564

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

January 3, 2002

Typed Name:

Alan ISRAEL

Date: January 3, 2002

Telephone No.: 212-697-3750

Attorney for Applicant(s)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles Neville GLEW	Attorney Docket No.:	P/70017/Alston
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Serial No.: 09/937,746

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Title: IMPROVEMENTS IN

ELECTRICAL MACHINES

Group Art Unit:

Examiner:

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

X	under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
	under 37 CFR 1.97(c) together with either a: Statement under 37 CFR 1.97(e), or a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
	under 37 CFR 1.97(d) together with a: Statement under 37 CFR 1.97(e), and a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: January 3, 2002

Typed Name: Alan ISRAEL

Signature:

Alan Israel

Attorney/Agent for Applicant(s)

Reg. No. 27564

Date: January 3, 2002

Telephone No.: 212-697-3750

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	ORMATION [Filing Date	September 28, 2001
ST/	ATEMENT BY	/ AF	'PLICANT	First Named Inventor	Charles Neville GLEW
(use as many sheets as necessary)				Group Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	P/70017/Aistom

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant
		5,710,475		Irwin, et al.	01/20/1998	Figures Appear
		4,785,138		Breitenbach, et al.		
		1,7 00,100		breiterioadii, et al.	11/15/1988	
						
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				FORE	IGN PATENT DOCUMENTS	3		
Examiner Initials*	Cite		Foreign Patent Docu	ıment	Name of Betaute	Date of Publication of	Pages, Columns, Lines,	T
	No.1	Office ³	Number⁴	Kind Code⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆
		wo	97/45931		PCT	12/04/1997		
		0 49	90 705 A1		European	06/17/1992		
		JP 1	10094205		Japan	04/10/1998		
		JP 4	1071346		Japan	03/05/1992		
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Signature	Considered	;
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*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.